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# The product of two quadratic matrices

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## Abstract

Let  $p = (x - \beta)(x - \beta^{-1}) \in K[x]$  where  $\beta^2 \neq \beta^{-2}$  and let  $V$  be a finite-dimensional vector space over the field  $K$ . A linear mapping  $M : V \rightarrow V$  is called quadratic if  $p(M) = 0$ . We characterize products of two quadratic linear mappings. © 2001 Elsevier Science Inc. All rights reserved.

*Keywords:* Matrices of quadratic type; Products of matrices; Factorization of matrices

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## 1. Introduction and main result

Let  $K$  be a commutative field,  $n \in \mathbb{N}$  and  $p \in K[x]$  a polynomial of degree 2.

We write  $\text{GL}_n$  for the group  $\text{GL}_n(K)$  of invertible  $n \times n$  matrices with entries in  $K$ .

**Definition 1.1** (*quadratic matrix*). A matrix  $M \in K^{n \times n}$  is called quadratic (for the polynomial  $p$ ) if  $p(M) = 0$ ; that is, the minimum polynomial of the matrix  $M$  divides  $p$ . Call a matrix  $A$  2-quadratic (for  $p$ ) if it is the product of two quadratic matrices (for  $p$ ).

If  $M$  is similar to  $N$  and  $M$  is 2-quadratic, then  $N$  is also 2-quadratic.

If  $p = x^2 - 1$ , then  $M$  is quadratic if and only if  $M$  is an involution. In this case it is well known that a matrix  $A$  is 2-quadratic if and only if  $A$  is similar to its inverse. These matrices can easily be described in terms of their elementary divisors; cf. [2].

Let  $I$  denote an identity matrix (of suitable dimension).

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Let  $p = (x - \beta)(x - \beta^{-1}) \in K[x]$ , where  $\beta^2 \neq \beta^{-2}$ . If  $K = \mathbb{C}$ , then Wang [4] gave a description of 2-quadratic matrices. The present paper is a generalization to arbitrary fields.

**Theorem 1.2.** *Let  $p = (x - \beta)(x - \beta^{-1}) \in K[x]$ , where  $\beta^2 \neq \beta^{-2}$ . Let  $n \in \mathbb{N}$  and  $M \in K^{n \times n}$ . Then  $M$  is 2-quadratic (for  $p$ ) if and only if up to similarity  $M$  has the form  $M = X \oplus Y \oplus Z_+ \oplus Z_-$ , where*

- (i)  $X$  is similar to  $X^{-1}$ , and  $\text{char } K = 2$  or  $X$  does not have elementary divisors  $(x + 1)^{2t+1}$  for  $t \in \mathbb{N}_0$ .
- (ii)  $Y = (\beta^2 I + ST) \oplus (\beta^2 I + TS)^{-1}$ , where  $S \in K^{r \times s}$ ,  $T \in K^{s \times r}$  and  $ST$  as well as  $TS$  are nilpotent.
- (iii)  $Z_+ = \beta^2 I$  and  $Z_- = \beta^{-2} I$ .

## 2. Preliminaries

We will frequently use a theorem due to Roth. For a proof we refer to [1, Satz 3.7].

**Theorem 2.1** (Roth). *Let  $r, s \in \mathbb{N}$ ,  $A \in \text{GL}_r$ ,  $B \in \text{GL}_s$  and  $C \in K^{r \times s}$ . Then the matrix equation  $AX - XB = C$  has a solution  $X \in K^{r \times s}$  if and only if the matrices*

$$\begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} A & C \\ 0 & B \end{bmatrix}$$

*are similar.*

**Corollary 2.2.** *Let  $r, s \in \mathbb{N}$ ,  $A \in \text{GL}_r(K)$  and  $B \in \text{GL}_s(K)$ . If  $\text{char } K$  is prime to  $\text{char } B$ , then the linear mapping*

$$\tau_{A,B} : K^{r \times s} \rightarrow K^{r \times s}, \quad X \mapsto AX - XB$$

*is an isomorphism.*

Indeed, the mapping is surjective by the above theorem.

### 2.1. Basic assumption and definition

In the sequel we consider a quadratic polynomial  $p = x^2 + vx + \epsilon$ , where  $v \in K$  and  $\epsilon \in \{1, -1\}$ .

Let  $q := x^2 + (2\epsilon - v^2)x + 1$  (observe: if  $\gamma$  is a zero of  $p$ , then  $\gamma^2$  is a zero of  $q$ ).

We call  $M \in K^{n \times n}$  quadratic if  $p(M) = 0$ . Further,  $M$  is called 2-quadratic if  $M$  is a product of two quadratic matrices.

**Remark 2.3.** Let  $X \in \text{GL}_r(K)$  and  $Y \in \text{GL}_s(K)$ , where  $r, s \in \mathbb{N}$  and  $A := X \oplus Y$ . Let

$$B := \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}, \quad C := \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix}$$

be quadratic matrices such that  $A = BC$  (where  $B_{11}, C_{11} \in K^{r \times r}$ ;  $B_{12}, C_{12} \in K^{r \times s}$ ;  $B_{21}, C_{21} \in K^{s \times r}$ ;  $B_{22}, C_{22} \in K^{s \times s}$ ).

Since

$$B^2 + \nu B + \epsilon I = p(B) = 0 = p(C) = C^2 + \nu C + \epsilon I,$$

we have  $B^2 = -\nu B - \epsilon I$  and  $C^2 = -\nu C - \epsilon I$ . Therefore,

$$\begin{aligned} \begin{bmatrix} B_{11}X & B_{12}Y \\ B_{21}X & B_{22}Y \end{bmatrix} &= BA = B^2C = -\nu A - \epsilon C \\ &= -\begin{bmatrix} \nu X + \epsilon C_{11} & \epsilon C_{12} \\ \epsilon C_{21} & \nu Y + \epsilon C_{22} \end{bmatrix} \end{aligned}$$

and

$$\begin{aligned} \begin{bmatrix} XC_{11} & XC_{12} \\ YC_{21} & YC_{22} \end{bmatrix} &= AC = BC^2 = -\nu A - \epsilon B \\ &= -\begin{bmatrix} \nu X + \epsilon B_{11} & \epsilon B_{12} \\ \epsilon B_{21} & \nu Y + \epsilon B_{22} \end{bmatrix}. \end{aligned}$$

**Corollary 2.4.** Under the assumptions of the previous remark we have

(a) (1)  $B_{11}X = -\nu X - \epsilon C_{11}$ , (2)  $X(C_{11} + \nu I) = -\epsilon B_{11}$ .

(b)  $X^{-1}B_{11} - B_{11}X = \nu(X - \epsilon I)$ .

If additionally  $\epsilon I + X \in \text{GL}_r$  and  $\text{char } X$  is prime to  $\text{char}(X^{-1})$ , then  $B_{11} = -\nu X(\epsilon I + X)^{-1}$ .

(c) Suppose that  $\epsilon I + X \in \text{GL}_r$  and that  $\text{char } X$  is prime to  $\text{char}(X^{-1})$  and the analogue holds true for  $Y$ . Then

$$B_{12}B_{21} = -\epsilon(\epsilon I + X)^{-2}q(X) \quad \text{and} \quad B_{21}B_{12} = -\epsilon(\epsilon I + Y)^{-2}q(Y).$$

**Proof.** The matrix identities in Remark 2.3 entrain (1) and (2) of (a). Statement (b) follows from (a).

For the second claim we observe that the matrix  $Z := -\nu X(\epsilon I + X)^{-1}$  satisfies  $X^{-1}Z - ZX = \nu(X - \epsilon I)$ . Hence  $Z = B_{11}$  by Corollary 2.2 and the first statement in (b).

(c) As  $p(B) = 0$  we have  $B_{12}B_{21} = -p(B_{11})$ . Taking  $B_{11}$  from (b) yields the assertion.  $\square$

**Corollary 2.5.** Consider the assumptions of the previous corollary, part (c). Suppose that  $p = (x - \beta)(x - \epsilon\beta^{-1})$ , hence  $q = (x - \beta^2)(x - \beta^{-2})$ . Further, suppose that  $X - \beta^{-2}I$  and  $Y - \beta^2I$  are invertible. Let  $S := -\epsilon(\epsilon I + X)^2(X - \beta^{-2})^{-1}B_{12}$  and  $T := B_{21}$ .

Then  $X = ST + \beta^2I$  and  $Y^{-1} = TS + \beta^2I$ .

**Proof.** The identity  $X = ST + \beta^2I$  follows immediately from part (c) of Corollary 2.5. In order to calculate  $TS = Y^{-1} - \beta^2I$  we use (+)  $B_{21}X = Y^{-1}B_{21}$  (which is obvious from the matrix identities in Remark 2.3) and (++)  $B_{21}(X - \beta^{-2})^{-1} = (Y^{-1} - \beta^{-2})^{-1}B_{21}$  (this follows from (+)) and apply once more part (c) of Corollary 2.5.  $\square$

**Lemma 2.6.** Let  $p = (x - \beta)(x - \beta^{-1})$  and  $\beta^2 \neq \beta^{-2}$ . Let  $s, t \in \mathbb{N}$  and  $S \in K^{r \times s}$  and  $T \in K^{s \times r}$  such that  $ST$  and  $TS$  are nilpotent matrices.

Put  $X := \beta^2I + ST$  and  $Y' := \beta^2I + TS$  and  $A := X \oplus Y$ , where  $Y := Y'^{-1}$  (observe that  $X \in \text{GL}_r$  and  $Y' \in \text{GL}_s$ ).

Then  $A$  is 2-quadratic.

**Proof.** Let  $B_{11} := \beta I + \beta^{-1}ST$ ,  $B_{12} := -\beta S - \beta^{-1}STS + \beta^{-1}S$ ,  $B_{21} := \beta^{-1}T$ ,  $B_{22} := \beta^{-1}I - \beta^{-1}TS$ ,  $C_{11} := \beta I$ ,  $C_{12} := \beta^{-1}S - \beta^{-2}(\beta I + \beta^{-1}ST)^{-1}S$ ,  $C_{21} := 0$ ,  $C_{22} := \beta^{-1}I$ . Let  $B$  and  $C$  be the matrices given by these submatrices. Then  $B$  and  $C$  are quadratic and

$$BC = \begin{bmatrix} X & 0 \\ T & Y \end{bmatrix}.$$

We now use that  $ST$  and  $TS$  are nilpotent mappings. This yields that  $\text{char} X = (x - \beta^2)^r$  and  $\text{char} Y = (x - \beta^{-2})^s$ . As  $\beta^2 \neq \beta^{-2}$  the two polynomials are prime. Hence  $BC$  is similar to  $A$ .  $\square$

**Corollary 2.7.** Let  $p = (x - \beta)(x - \beta^{-1})$  and  $\beta^2 \neq \beta^{-2}$ . Let  $n \in \mathbb{N}$  and  $A \in \text{GL}_n$  such that  $\text{char} A = (x - \beta^2)^r(x - \beta^{-2})^s$ , where  $r, s \in \mathbb{N}$ . Then  $A$  is 2-quadratic if and only if  $A$  has (up to similarity) the form  $A = (\beta^2I + ST) \oplus (\beta^2I + TS)^{-1}$ , where  $S \in K^{r \times s}$ ,  $T \in K^{s \times r}$  and  $ST$  as well as  $TS$  are nilpotent matrices.

**Proof.** Suppose that  $\text{char} A = (x - \beta^2)^r(x - \beta^{-2})^s$ , where  $r, s \in \mathbb{N}$ . Since the two factors are prime we have  $A = X \oplus Y$ , where  $\text{char} X = (x - \beta^2)^r$  and  $\text{char} Y = (x - \beta^{-2})^s$ . If  $A$  is 2-quadratic, then Corollary 2.5 supplies a pair of matrices  $S, T$  such that  $A$  has the required form. Lemma 2.6 provides the converse assertion.  $\square$

Lemma 2.6 and Corollary 2.5 can be obtained from [3].

**Lemma 2.8.** Let  $X \in \text{GL}_r(K)$  and  $Y \in \text{GL}_s(K)$ ,  $r + s = n$ , and  $\text{char}(X^{-1})$  prime to  $\text{char}(Y)$ . If  $A := X \oplus Y$  is 2-quadratic, then  $X$  and  $Y$  are also 2-quadratic.

**Proof.** From the identity  $A = BC$  in Remark 2.3 we obtain  $B_{12}Y = X^{-1}B_{12}$  and  $YC_{21} = C_{21}X^{-1}$ . As  $\text{char}(X^{-1})$  is prime to  $\text{char}(Y)$  Corollary 2.2 implies that  $B_{12} = 0$  and  $C_{21} = 0$ ; hence also  $C_{12}$  and  $B_{21} = 0$ . We conclude that each of the matrices  $B_{11}, B_{22}, C_{11}, C_{22}$  is quadratic and  $X = B_{11}C_{11}$  and  $Y = B_{22}C_{22}$ .  $\square$

**Remark 2.9.** Let  $M = AB$ , where  $A$  and  $B$  are quadratic. Then

- (i)  $A^{-1} = -\epsilon(A + \nu I)$ .
- (ii)  $M^{-1} = BA + \nu(A + B) + \nu^2 I$ .
- (iii)  $(A - B)M = M^{-1}(A - B)$ .
- (iv)  $A(M + M^{-1}) = (M + M^{-1})A$  and  $B(M + M^{-1}) = (M + M^{-1})B$ .

**Proof.** As  $A^2 + \nu A + \epsilon I_n = 0$  statement (i) holds true. Now (i) and  $\epsilon^2 = 1$  yield

$$M^{-1} = B^{-1}A^{-1} = (B + \nu I)(A + \nu I) = BA + \nu(A + B) + \nu^2 I.$$

Also (iii) and (iv) are obtained from simple calculations.  $\square$

**Corollary 2.10.** Let  $M = AB$ , where  $A, B$  are quadratic matrices and the characteristic polynomials of  $M$  and  $M^{-1}$  are prime. Then  $A = B$ . In particular  $q(M) = 0$ .

**Proof.** Statement (iii) of Remark 2.9 and Corollary 2.2 imply  $A - B = 0$ .  $\square$

**Corollary 2.11.** Suppose that  $p = (x - \beta)(x - \epsilon\beta^{-1})$ , where  $\beta^2 \neq \beta^{-2}$ . Let  $M \in \text{GL}_n$  be 2-quadratic and  $(M - \beta^2 I)^n = 0$  or  $(M - \beta^{-2} I)^n = 0$ . Then  $M = \beta^2 I$ , respectively  $M = \beta^{-2} I$ .

**Proof.** The minimum polynomial of  $M$  divides  $q = (x - \beta^2)(x - \beta^{-2})$  (by Corollary 2.10) and  $(x - \beta^2 I)^n$ , respectively  $(x - \beta^{-2} I)^n$ .  $\square$

**Corollary 2.12.** Suppose that  $M$  is 2-quadratic and  $\text{char } M$  is prime to  $q$ . Then  $M$  is similar to  $M^{-1}$ .

**Proof.** Let  $Q := A - B$ , where  $M = AB$  and  $A, B$  are quadratic. From Remark 2.9 (ii) it follows that

$$\begin{aligned} Q^2 &= A^2 + B^2 - AB - BA \\ &= -\nu(A + B) - 2\epsilon I - M - M^{-1} + \nu(A + B) + \nu^2 I \\ &= -M - M^{-1} - (2\epsilon - \nu^2)I. \end{aligned}$$

Hence  $-Q^2 M = q(M)$ . As  $\text{char } M$  is prime to  $q$  we have  $q(M) \in \text{GL}_n$ . Thus  $Q$  is invertible and Remark 2.9(iii) yields that  $QM Q^{-1} = M^{-1}$ .  $\square$

## 2.2. Assumption

For the rest of this paper we assume that  $p = (x - \beta)(x - \beta^{-1})$ , where  $\beta^2 \neq \beta^{-2}$ .

**Lemma 2.13.** *If  $M \in \text{GL}_n$  has an elementary divisor  $(x + 1)^{2t+1}$ , where  $t \in \mathbb{N}_0$  and  $\text{char } K \neq 2$ , then  $M$  is not 2-quadratic.*

**Proof.** Let  $M = AB$ , where  $A$  and  $B$  are quadratic matrices. In Remark 2.9(iv) we noticed that  $A$  commutes with  $M + M^{-1}$ , hence also with  $(M + I)^2 M^{-1} = M + M^{-1} + 2I$ . This implies that  $\text{kernel}(M + I)^{2m}$  is invariant under  $M$ ,  $A$  and  $B$  for each  $m \in \mathbb{N}_0$ . Now we have an elementary divisor  $(x + 1)^{2t+1}$  for  $M$ . By the above observation  $A$ ,  $B$  and  $M$  induce linear mappings  $A'$ ,  $B'$ ,  $M'$  on the space

$$W := \text{kernel}(M + I)^{2t+2} / \text{kernel}(M + I)^{2t}.$$

Clearly,  $A'$  and  $B'$  are quadratic and  $M' = A'B'$ . Further,  $M'$  has an elementary divisor  $x + 1$ , and the minimum polynomial of  $M'$  divides  $(x + 1)^2$ . This yields  $\dim(\text{kernel}(M' + I)) > \frac{1}{2} \dim W$ . As  $W = \text{kernel}(A' - \beta I) \oplus \text{kernel}(A' - \beta^{-1} I)$  we have  $\text{kernel}(M' + I) \cap \text{kernel}(A' - \beta I) \neq 0$  or  $\text{kernel}(M' + I) \cap \text{kernel}(A' - \beta^{-1} I) \neq 0$ . Hence  $-\beta^{-1}$  or  $-\beta$  is an eigenvalue for  $B'$ , and hence an element of  $\{\beta, \beta^{-1}\}$ . This is a contradiction.  $\square$

**Lemma 2.14.** *Let  $M \in \text{GL}_{2m}$  such that  $M$  is similar to  $M^{-1}$ , and  $\text{char } K = 2$  or  $(x + 1)^{2t+1}$  is not an elementary divisor of  $M$  ( $t \in \mathbb{N}_0$ ).*

*Then  $M$  is 2-quadratic.*

**Proof.** In Appendix A we will prove that (up to similarity)

$$M = \begin{bmatrix} I & B \\ A & I + AB \end{bmatrix}$$

for some matrices  $A, B \in K^{m \times m}$ . Let

$$S := \begin{bmatrix} P & 0 \\ AP & Q \end{bmatrix} \quad \text{and} \quad T := \begin{bmatrix} P^{-1} & P^{-1}B \\ 0 & Q^{-1} \end{bmatrix},$$

where  $P, Q \in \text{GL}_m$  are arbitrary invertible matrices. Then  $M = ST$ . Now take  $P := \beta I$  and  $Q := \beta^{-1} I$ . Then  $S$  and  $T$  are quadratic.  $\square$

**Lemma 2.15.** *Let  $M \in \text{GL}_n$  and  $\text{char } K = 2$ , i.e.  $M$  is unipotent. Then  $M$  is 2-quadratic.*

**Proof.** We may assume that  $M$  is a cyclic mapping. Then  $M$  is similar to its inverse. If  $\dim V$  is even, then the previous lemma includes the assertion. Assume that  $n$  is odd. Let

$$D := \begin{bmatrix} \beta & 1 \\ 0 & \beta^{-1} \end{bmatrix}, \quad B := \text{diag}(D, \dots, D, \beta), \quad C := \text{diag}(\beta^{-1}, D, \dots, D)$$

( $D$  occurs in each matrix  $\frac{1}{2}(n-1)$  times). Then  $B$  and  $C$  are quadratic matrices and  $BC$  is an upper diagonal matrix where all diagonal entries are 1 and the entries in the upper diagonal are  $\beta, \beta^{-1}, \beta, \beta^{-1}, \dots$ . Hence  $\text{char}(BC) = (x-1)^n$  and  $BC$  is cyclic. This implies that  $BC$  is similar to  $M$ .  $\square$

**Corollary 2.16.** *Let  $M \in \text{GL}_n$  such that  $M$  is similar to  $M^{-1}$ , and  $\text{char } K = 2$  or  $(x+1)^{2t+1}$  is not an elementary divisor of  $M$  for any  $t \in \mathbb{N}_0$ .*

*Then  $M$  is 2-quadratic.*

**Proof.** We write  $M = M_1 \oplus M_2$ , where  $\text{char } M_1$  is prime to  $x-1$  and  $\text{char } M_2 = (x-1)^m$ . It follows that  $M_1$  has even dimension (see Appendix A) and it is similar to its inverse. Hence  $M_1$  is 2-quadratic by Lemma 2.14. Further,  $M_2$  is 2-quadratic by Lemma 2.15.  $\square$

**Proof of Theorem 1.2.** ( $\Rightarrow$ ) Let  $M$  be 2-quadratic. Then  $M$  does not have elementary divisors  $(x+1)^{2t+1}$  for  $t \in \mathbb{N}_0$  or  $\text{char } K = 2$ ; cf. Lemma 2.13. We write  $M = X \oplus Y$  where  $\text{char } X$  is prime to  $q = (x-\beta^2)(x-\beta^{-2})$  and  $\text{char}(Y) = (x-\beta^2)^r(x-\beta^{-2})^s$  for appropriate  $r, s \in \mathbb{N}_0$ . Then  $X$  and  $Y$  are 2-quadratic by Lemma 2.8. Further,  $X$  is similar to  $X^{-1}$ ; cf. Corollary 2.12. As to  $Y$ , three distinct cases can occur (provided that  $Y \neq 0$ ):  $r = 0 \neq s$ ;  $r \neq 0 = s$ ;  $r \neq 0 \neq s$ . In the first two cases Corollary 2.11 implies that  $Y = \beta^{-2}I$ , respectively  $Y = \beta^2I$ . In the last case Corollary 2.7 (where  $Y_1$  corresponds to  $X$  and  $Y_2$  to  $Y$ ) provides matrices  $S, T$  such that  $Y = Y_1 \oplus Y_2$  and  $Y_1 = \beta^2I + ST$  and  $Y_2^{-1} = \beta^2I + TS$  and  $ST, TS$  are nilpotent.

We proved that  $M$  has the desired form.

( $\Leftarrow$ ) Suppose that  $M = X \oplus Y \oplus Z_- \oplus Z_+$ , where

- (i)  $X$  is similar to  $X^{-1}$ , and  $X$  does not have elementary divisors  $(x+1)^{2t+1}$  for  $t \in \mathbb{N}_0$  or  $\text{char } K = 2$ ;
- (ii)  $Y = (\beta^2I + ST) \oplus (\beta^2I + TS)^{-1}$ , where  $S \in K^{r \times s}, T \in K^{s \times r}$  and  $ST$  as well as  $TS$  are nilpotent;
- (iii)  $Z_+ = \beta^2I$  and  $Z_- = \beta^{-2}I$ .

Then  $X$  is 2-quadratic by Corollary 2.16;  $Y$  is 2-quadratic by Corollary 2.7;  $Z_+$  is obviously 2-quadratic as  $\beta I$  is quadratic and  $Z_-$  is also 2-quadratic. Hence  $M$  is 2-quadratic.  $\square$

## Appendix A

The following crucial proposition was used in the proof to our theorem.

**Proposition A.1.** *Let  $\dim V = 2m$  even and  $M \in \text{GL}_{2m}$  similar to its inverse  $M^{-1}$ . If  $\text{char } K = 2$  or  $M$  does not have an elementary divisor  $(x+1)^{2t+1}$  ( $t \in \mathbb{N}_0$ ), then  $M$  is similar to a matrix of the form*

$$M = \begin{bmatrix} I & B \\ A & I + AB \end{bmatrix},$$

where  $A, B, I \in K^{m \times m}$ .

In the sequel we provide a proof.

It is well known that a linear bijection  $\pi : V \rightarrow V$  (where  $V \neq 0$  is a finite-dimensional vector space over a field  $K$ ) is a product of two involutions if and only if  $\pi$  is similar to its inverse; see e.g. [2].

We need some information on the possible choice of the two involutions in order to prove Proposition A.2.

The subspace  $B(\pi) := V(\pi - 1)$  is called the path of the linear mapping  $\pi : V \rightarrow V$ ;  $F(\pi) := \text{kernel}(\pi - 1)$  the fixed space of  $\pi$  and  $N(\pi) := \text{kernel}(\pi + 1)$  the negative space of  $\pi$ .

For linear mappings  $\pi, \varphi : V \rightarrow V$  one has  $B(\varphi\pi) \subseteq B(\varphi) + B(\pi)$  ('path-lemma').

Let  $\sigma : V \rightarrow V$  be a linear bijection. Then  $\sigma$  is an involution if and only if  $B(\sigma) \subseteq N(\sigma)$ . When  $\text{char } K \neq 2$  then  $\subseteq$  can be replaced by  $=$ , and one has  $V = F(\sigma) \oplus N(\sigma)$ .

Observe that  $\text{char } K = 2$  entails  $N(\pi) = F(\pi)$  for each linear mapping  $\pi$ .

**Proposition A.2.** Assume that  $\dim V = 2m$  is even. Let  $\pi : V \rightarrow V$  be a linear bijection that is similar to its inverse.

- (a) Let  $\text{char } K \neq 2$  and suppose that  $\pi$  does not have elementary divisors  $(x + 1)^{2t+1}$ , where  $t \in \mathbb{N}_0$ . Then  $\pi = \rho\sigma$  for involutions  $\rho, \sigma$  such that  $V = B(\rho) \oplus F(\sigma)$  and  $\dim B(\sigma) = m = \dim F(\rho)$ .
- (b) Let  $\text{char } K = 2$ . Then  $\pi = \rho\sigma$  for involutions  $\rho, \sigma$  such that  $V = U \oplus W$  for appropriate subspaces  $U, W$  with  $B(\rho) \subseteq U \subseteq F(\rho)$  and  $B(\sigma) \subseteq W \subseteq F(\sigma)$  and  $\dim U = m = \dim W$ .

Indeed Proposition A.2 entrains Proposition A.1 as we shall see now. Select  $\rho, \sigma$  as in Proposition A.2. If  $\text{char } K = 2$  let  $U, W$  as in (b) of that proposition, else  $U := B(\rho)$  and  $W := F(\sigma)$ . Putting together a basis for  $U$  and a basis for  $W$  we obtain a basis for  $V$ . The matrix-representations of  $\rho$  and  $\sigma$  in this basis are

$$\rho = \begin{bmatrix} -I & 0 \\ A & I \end{bmatrix} \quad \text{and} \quad \sigma = \begin{bmatrix} -I & B \\ 0 & I \end{bmatrix}.$$

Hence  $\pi$  has a matrix-representation as stated in Proposition A.1.

We will provide a proof to Proposition A.2 in several steps.

**1.** For a polynomial  $f(x)$  of degree  $n$  with  $f(0) \neq 0$  let  $f^*(x) := f(0)^{-1}x^n f(x^{-1})$  denote its 'reciprocal' polynomial. If  $f = f_1 \cdots f_k$ , then  $f^* = f_1^* \cdots f_k^*$ . Call  $f$  symmetric if  $f = f^*$ . For  $\pi \in GL$  it is easy to see that  $\text{char } \pi^{-1} = (\text{char } \pi)^*$ , and  $\text{mip } \pi^{-1} = (\text{mip } \pi)^*$  for the characteristic and the minimum polynomials. Hence, if



$\pi$  is similar to  $\pi^{-1}$ , then the characteristic polynomial of  $\pi$  is symmetric and the minimal polynomial of  $\pi$  is symmetric.

2. Let  $f = x^n + a_{n-1}x^{n-1} + \dots + a_0$  be a monic symmetric polynomial. Then  $a_i = a_{n-i}$  for  $i \in \{0, \dots, n\}$  or  $a_i = -a_{n-i}$  for  $i \in \{0, \dots, n\}$ . Hence  $-1$  or  $1$  is a zero of  $f$  whenever  $n$  is odd. Therefore, the only monic irreducible symmetric polynomials of odd degree are  $x - 1$  and  $x + 1$ .

3. Let  $\pi$  be a linear bijection of a vectorspace  $V \rightarrow V$  (over a commutative field  $K$ ) such that  $\pi$  is similar to its inverse. Then we have a decomposition  $V = V_1 \oplus \dots \oplus V_r \oplus V'_1 \oplus V''_1 \oplus \dots \oplus V'_s \oplus V''_s$  such that

- (i) each  $V_i, V'_i, V''_i$  is an irreducible  $\pi$ -module;
- (ii) the minimal polynomial of the restriction  $\pi_{V_i}$  has the form  $p_i^{m_i}$  where  $p_i$  is a symmetric monic irreducible polynomial;
- (iii) the minimal polynomial of the restriction  $\pi_{V'_i}$  has the form  $q_i^{k_i}$ , where  $q_i$  is a monic irreducible polynomial that is prime to its reciprocal  $q_i^*$ , and  $(q_i^*)^{k_i}$  is the minimal polynomial of the restriction  $\pi_{V''_i}$ .

In particular, each  $V_i$  is a cyclic  $\pi$ -module such that the restriction  $\pi_{V_i}$  has a symmetric minimal polynomial  $p_i^{m_i}$ , and also each  $V'_i \oplus V''_i$  is a cyclic  $\pi$ -module such that the restriction of  $\pi$  has the symmetric minimal polynomial  $(q_i q_i^*)^{k_i}$ .

Using the above facts one deduces that each of the above-mentioned restrictions of  $\pi$  is a product of two involutions, and hence  $\pi$  is a product of two involutions. See e.g. [2].

Clearly, each module  $V'_i \oplus V''_i$  has even dimension.

Further, by our discussion on symmetric polynomials, each  $V_i$  has even dimension except when  $p_i^{m_i} = (x - 1)^{m_i}$  or  $p_i^{m_i} = (x + 1)^{m_i}$ .

4. In the sequel let  $\pi = \rho\sigma$ , where  $\rho, \sigma : V \rightarrow V$  are linear involutions and  $n := \dim V$ .

**4.1 Remark.**  $\pi = \sigma\rho^\sigma$ , so  $\sigma$  can be put to the first place.

We need additional informations compiled in the following lemmas.

**5.1 Lemma.** Let  $n = \dim V = 2m$  even,  $\pi$  a cyclic mapping and  $F(\pi) = 0$  or  $N(\pi) = 0$ . Then  $\dim B(\rho) = m = \dim B(\sigma)$ , and  $V = F(\rho) \oplus B(\sigma)$  or  $V = F(\sigma) \oplus B(\rho)$  (when  $\text{char } K = 2$  then both are true).

**Proof.** The assumptions yield

- (0)  $\dim B(\pi) \geq n - 1$ ,
- (1)  $B(\pi) \subseteq B(\rho) + B(\sigma) \subseteq V$  ('path-lemma')
- (2)  $\dim((B(\rho) \cap B(\sigma)) + (F(\rho) \cap F(\sigma))) \leq \dim F(\pi) \leq 1$ ,
- (3)  $\dim((F(\sigma) \cap B(\rho)) + (F(\rho) \cap B(\sigma))) \leq \dim N(\pi) \leq 1$ .

Hence

- (4)  $n - 1 \leq \dim B(\rho) + \dim B(\sigma) \leq n + 1$  (due to (0), (1) and (2)), and
- (5)  $|\dim B(\rho) - \dim B(\sigma)| \leq 1$  (due to (2) and (3)).

We may assume that  $\dim B(\rho) \geq \dim B(\sigma)$ . If  $\dim B(\rho) = m$  and  $\dim B(\sigma) = m - 1$ , then (2) and (3) yield  $F(\pi) \neq 0 \neq N(\pi)$ , a contradiction. Similarly, when  $\dim B(\rho) = m + 1$  and  $\dim B(\sigma) = m$ . Hence  $\dim B(\rho) = m = \dim B(\sigma)$  is the only possibility. The remaining assertion follows in the case of  $\text{char } K \neq 2$  from (3) and  $V = B(\rho) \oplus F(\rho)$ . If  $\text{char } K = 2$ , then  $F(\pi) = N(\pi) = 0$  by assumption and the assertion is obvious.  $\square$

Similar arguments yield the following lemmas. We omit the proofs.

**5.2 Lemma.** *Let  $\text{char } K \neq 2$  and  $\pi$  cyclic with characteristic polynomial  $(x - 1)^{2t+1}$ . Then*

$$\dim F(\rho) = \dim F(\sigma) = t \quad \text{and} \quad \dim B(\rho) = \dim B(\sigma) = t + 1$$

or

$$\dim F(\rho) = \dim F(\sigma) = t + 1 \quad \text{and} \quad \dim B(\rho) = \dim B(\sigma) = t.$$

Further,  $V = F(\rho) \oplus B(\sigma) = F(\sigma) \oplus B(\rho)$ .

**5.3 Lemma.** *Let  $\text{char } K = 2$  and  $\pi$  cyclic with characteristic polynomial  $(x - 1)^{2t+1}$ . Then  $\dim B(\rho) = t = \dim B(\sigma)$ ,  $B(\pi) = B(\rho) \oplus B(\sigma)$ ,  $F(\pi) = F(\rho) \cap F(\sigma)$  and  $V = F(\rho) \oplus B(\sigma)$  or  $V = F(\sigma) \oplus B(\rho)$ .*

**5.4 Lemma.** *Let  $\text{char } K = 2$  and  $\pi$  cyclic with characteristic polynomial  $(x - 1)^{2t}$ . Then  $B(\rho) \cap F(\pi) = 0$  or  $B(\sigma) \cap F(\pi) = 0$ . In the second case,  $F(\rho) = B(\rho)$  is  $t$ -dimensional, and  $\dim F(\sigma)/B(\sigma) = 2$  and  $B(\pi) = B(\rho) \oplus B(\sigma)$  and  $V = F(\rho) \oplus W$  for a subspace  $W$  such that  $B(\sigma) \subseteq W \subseteq F(\sigma)$ .*

**5.5 Proof of Proposition A.2.** Consider a decomposition of  $V$  into  $\pi$ -modules as in 3.

If a  $\pi$ -module satisfies the requirements of Lemma 5.1 (i.e. its dimension is even and  $F(\pi) = 0$  or  $N(\pi) = 0$ ), then the assertion of Proposition A.2 is true for this  $\pi$ -module (by 5.1 and 5.0).

Hence we can delete  $\pi$ -modules that fit into Lemma 5.1.

We pursue the cases  $\text{char } K \neq 2$ , respectively  $\text{char } K = 2$  separately.

If  $\text{char } K \neq 2$ , the only remaining  $\pi$ -modules (i.e. those not covered by Lemma 5.1) are the ones considered in Lemma 5.2, and their number is even. So it suffices to consider a direct sum of two modules of that type. Using Lemma 5.2 and Remark 5.0 we see that the assertion of Proposition A.2 is true for such a pair.

Finally, let  $\text{char } K = 2$ . The only remaining  $\pi$ -modules are associated with characteristic polynomials  $(x - 1)^s$ .

If  $s$  is even, then Lemma 5.4 and Remark 5.0 prove the assertion of Proposition A.2 for such a  $\pi$ -module.

Hence we need only consider the case that  $V$  is the direct sum of two  $\pi$ -modules associated with minimal polynomials  $(x - 1)^{2t_1+1}$ , respectively  $(x - 1)^{2t_2+1}$ . Using 5.0 and 5.3 we obtain the assertion.

The proof to Proposition A.2 is finished.  $\square$

## References

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